



Filing ID #10028701

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Brian Higgins
Status: Member
State/District: NY26

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2018
Filing Date: 07/9/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|-----------------------------------|-------|--------------------|----------------|-------------|--------------------------|
| Congressional FCU [BA] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Congressional FCU [BA] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Key Bank [BA] | DC | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Key Bank [BA] | DC | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| M&T Bank [BA] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| M&T Bank [BA] | SP | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| M&T Bank [BA] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| M&T Bank [BA] | SP | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Micron Technology, Inc. (MU) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|--------------------|----------------|-----------------|-------------------------------------|
| Micron Technology, Inc. (MU) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| NVIDIA Corporation (NVDA) [ST] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| NVIDIA Corporation (NVDA) [ST] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| NY State & Local Employees Retirement Fund (not self-directed) [PE] | | Undetermined | None | | <input type="checkbox"/> |
| DESCRIPTION: Pension at retirement. COMMENTS: The New York State & Local Employees' Retirement System is administered by a sole trustee -- the elected New York State Comptroller. Investments are not self-directed. For more information please see http://www.osc.state.ny.us/pension.index.htm | | | | | |
| NY State & Local Employees Retirement Fund (not self-directed) [PE] | SP | Undetermined | None | | <input type="checkbox"/> |
| DESCRIPTION: Pension at retirement. COMMENTS: The New York State & Local Employees' Retirement System is administered by a sole trustee -- the elected New York State Comptroller. Investments are not self-directed. For more information please see http://www.osc.state.ny.us/pension.index.htm | | | | | |
| NY State & Local Employees Retirement Fund (not self-directed) [PE] | | Undetermined | None | | <input type="checkbox"/> |
| DESCRIPTION: Pension at retirement. COMMENTS: The New York State & Local Employees' Retirement System is administered by a sole trustee -- the elected New York State Comptroller. Investments are not self-directed. For more information please see http://www.osc.state.ny.us/pension.index.htm | | | | | |
| NY State & Local Employees Retirement Fund (not self-directed) [PE] | SP | Undetermined | None | | <input type="checkbox"/> |
| DESCRIPTION: Pension at retirement. COMMENTS: The New York State & Local Employees' Retirement System is administered by a sole trustee -- the elected New York State Comptroller. Investments are not self-directed. For more information please see http://www.osc.state.ny.us/pension.index.htm | | | | | |
| Schwab S&P 500 Index FC [MF] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Schwab S&P 500 Index FC [MF] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Sphere 3D Corp. - Common Shares (ANY) [ST] | | \$1,001 - \$15,000 | Dividends | None | <input checked="" type="checkbox"/> |

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|--------------------|---------------------|
| Micron Technology, Inc. (MU) [ST] | | 04/6/2018 | P | \$1,001 - \$15,000 | |
| Micron Technology, Inc. (MU) [ST] | | 03/23/2018 | P | \$1,001 - \$15,000 | |
| Sphere 3D Corp. - Common Shares (ANY) [ST] | | 04/16/2018 | P | \$1,001 - \$15,000 | |

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount |
|--|---------------|-------------|
| City of Buffalo, NY Board of Education | Spouse salary | \$69,425.00 |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|--|-------------------------|---------------|--------------------------------|-----------------------|
| JT | Owners' Choice Mortgage | February 2010 | Mortgage on personal residence | \$100,001 - \$250,000 |
| COMMENTS: CUC Mortgage sold mortgage to new mortgage holder. | | | | |

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

| Date | Parties To | Terms of Agreement |
|---|---|-----------------------|
| 1985 | NY State & Local Employees' Retirement System | Pension at retirement |
| COMMENTS: The New York State & Local Employees' Retirement System is administered by a sole trustee -- the elected New York State Comptroller. Investments are not self-directed. For more information please see http://www.osc.state.ny.us/pension.index.htm | | |

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Brian Higgins , 07/9/2019